

A classification of isometries of infinite dimensional hyperbolic space

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Poincaré metric

Let Δ denote the open unit ball in \mathbb{C} and ρ , the Poincaré metric. For $z, w \in \Delta$,

$$\rho(z, w) = \frac{1}{2} \log \frac{1 + \left| \frac{w - z}{1 - \bar{z}w} \right|}{1 - \left| \frac{w - z}{1 - \bar{z}w} \right|}$$

Harnack inequality

If $u : \overline{B}(a; R) \rightarrow \mathbb{R}$ is continuous, harmonic in $B(a; R)$, and $u \geq 0$ then for $0 \leq r < R$ and all θ

$$\frac{R-r}{R+r}u(a) \leq u(a + re^{i\theta}) \leq \frac{R+r}{R-r}u(a)$$

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Harnack metric ¹

For $z, w \in \overline{B}(a; R)$

$$d(z, w) = \sup\{|\log u(z) - \log u(w)| \mid u \text{ is positive and harmonic in } \overline{B}(a; R)\}$$

Holomorphic self maps on Δ are distance decreasing for Poincaré metric and this property forms the statement of Schwarz-Pick Lemma.

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Hyperbolic geometry of the n dimensional spaces

Let B^n denote the open unit ball in \mathbb{C}^n .

Carathéodory metric ²

Let $\text{Hol}(B^n, \Delta)$ denote the set of all holomorphic mappings $f : B^n \rightarrow \Delta$.
Then

$$C_{B^n}(x, y) = \sup_f \rho(f(x), f(y)) \quad \text{for all } x, y \in B^n.$$

²Kobayashi, S. *Hyperbolic complex spaces*, Grundlehren der Mathematischen Wissenschaften, 318, Springer-Verlag, Berlin, 1998.

z -classes ¹ - Two elements in a group are said to be z -equivalent if their centralizers are conjugate.

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Group of isometries on n-dimensional hyperbolic space

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$$Aut(B^n) \cong U(1, n)/Z(U(1, n))$$

Fixed point classification of $Aut(B^n)$

An element of $Aut(B^n)$ is called

- Elliptic if it has one fixed point in B^n .
- Hyperbolic if not elliptic and has exactly 2 fixed points on ∂B^n .
- Parabolic if not elliptic and has a unique fixed point on ∂B^n .

z -classes of $Aut(B^n)$ (Chen and Greenberg[1], Gongopadhyay and Kulkarni [4])

- ① An elliptic isometry is conjugate to an element of the form $U(1) \times U(n)$.

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- 4 Their conjugacy classes are determined by the eigenvalues.
- 5 Elliptic and hyperbolic isometries decompose the space into corresponding eigenspaces. Hence their centralizers will preserve each eigenspace and vice versa.
- 6 Parabolic isometries are not semisimple. A parabolic isometry T is conjugate to pe . p is strictly parabolic and e is unitary. Also an isometry S commutes with T if and only if it commutes with both p and e . Minimal polynomial and characteristic polynomial determine the conjugacy of a parabolic isometry.

Generalization to infinite dimensional setup

Franzoni and Vesentini ⁴ have discussed the hyperbolic structure for infinite dimensional setup.

Holomorphicity in infinite dimensions

Let E and F be two complex normed spaces. Let U be an open subset of E . A mapping $f : U \rightarrow F$ is called an F -valued holomorphic function if for every $a \in U$, there exists $A \in L(E, F)$ such that

$$\lim_{x \rightarrow a} \frac{f(x) - f(a) - A(x - a)}{\|x - a\|} = 0.$$

⁴Franzoni, Tullio; Vesentini, Edoardo. Holomorphic maps and invariant distances. Notas de Matemática [Mathematical Notes], 69. North-Holland Publishing Co., Amsterdam-New York, 1980. viii+226 pp. ISBN: 0-444-85436-3 MR0563329

H - Infinite dimensional Hilbert space.

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Holomorphic self maps on B are distance decreasing for the Carathéodory metric. So holomorphic bijections become isometries.

Group of isometries

$\text{Aut}B$ - Group of holomorphic bijections on B .

General element of $\text{Aut}B$ - $U \circ f_{x_0}$, $x_0 \in B$,

U is a unitary operator on H and f_{x_0} is some holomorphic bijection on B .

$f_{x_0} : B_{x_0} \longrightarrow H$ defined by

$$f_{x_0}(x) = T_{x_0} \left(\frac{x - x_0}{1 - \langle x, x_0 \rangle} \right),$$

$B_{x_0} = \left\{ x \in H : \|x\| < \frac{1}{\|x_0\|} \right\}$. $f_{x_0} \upharpoonright_{B_{x_0}}$ is a bi-holomorphic surjection.

$T_{x_0} : H \longrightarrow H$ is a linear map expressed as

$$T_{x_0}(x) = \frac{\langle x, x_0 \rangle}{1 + \sqrt{1 - \|x_0\|^2}} x_0 + \sqrt{1 - \|x_0\|^2} x.$$

$Aut(B)$ is known to act transitively on B .

Linear representation of $Aut B$

\mathcal{A} - sesquilinear form on $H \oplus \mathbb{C}$.

$$\mathcal{A}((x, \lambda), (y, \mu)) = \langle x, y \rangle - \lambda \bar{\mu}.$$

G - Group of all bijective linear operators on $H \oplus \mathbb{C}$ preserving \mathcal{A} .

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G - Group of all bijective linear operators on $H \oplus \mathbb{C}$ preserving \mathcal{A} .

For $T \in G$, T has the form $\begin{bmatrix} A & \xi \\ \langle \cdot, \frac{A^*(\xi)}{a} \rangle & a \end{bmatrix}$ where $\xi \in H$ satisfies

$$A^*A = I + \frac{1}{|a|^2} \langle \cdot, A^*(\xi) \rangle A^*(\xi)$$

and

$$|a|^2 = 1 + \|\xi\|^2.$$

The center Z_G of $G = \{e^{i\theta}I, \theta \in \mathbb{R}\}$.

Theorem (Franzoni and Vesentini [2])

The map $\phi : G \rightarrow \text{Aut}(B)$ defined by $\phi(T) = \tilde{T}$ is an onto homomorphism

where $T = \begin{bmatrix} A & \xi \\ \left\langle \cdot, \frac{A^*(\xi)}{a} \right\rangle & a \end{bmatrix}$ and $\tilde{T} = \frac{A(\cdot) + \xi}{\left\langle \cdot, \frac{A^*(\xi)}{a} \right\rangle + a}$.

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Hence $\tilde{\phi} : G/Z_G \rightarrow \text{Aut}(B)$ is an onto isomorphism.

Theorem (Hayden and Suffridge⁹)

If $g \in \text{Aut}(B)$ has no fixed point in B , then the fixed point set in \bar{B} consists of one or two points.

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Fixed point classification of isometries

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Let Q be the corresponding quadratic form of the sesquilinear form \mathcal{A} .

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We call a vector $x \in H \oplus \mathbb{C}$ *time like* if $Q(x) < 0$, *light like* if $Q(x) = 0$ and *space like* if $Q(x) > 0$.

Observation - Observe that for $x \in \overline{B}$, x is a fixed point for an isometry in $\text{Aut}B$ if and only if $(x, 1)$ is an eigenvector for the corresponding element in G .

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Proposition

A general element of G is of the form $e^{i\theta} \begin{bmatrix} UA & U(\xi) \\ \langle \cdot, \xi \rangle & a \end{bmatrix}$, $\theta \in \mathbb{R}$, where $\xi \in H$, $a = \sqrt{1 + \|\xi\|^2}$, U is a unitary operator on H and A is a positive operator on H such that $A = I$ on $\langle \xi \rangle^\perp$ and $A(\xi) = a\xi$.

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$$\text{For } T = \begin{bmatrix} UA & U(\xi) \\ \langle \cdot, \xi \rangle & a \end{bmatrix},$$

$$T^{-1} = \begin{bmatrix} (UA)^* & -\xi \\ -\langle \cdot, U(\xi) \rangle & a \end{bmatrix} \text{ and } T^* = \begin{bmatrix} (UA)^* & \xi \\ \langle \cdot, U(\xi) \rangle & a \end{bmatrix}$$

Examples of isometries based on fixed point classification

Subclass of G having a two-dimensional reducing subspace

Let $T = \begin{bmatrix} UA & U(\xi) \\ \langle \cdot, \xi \rangle & a \end{bmatrix} \in G$. If U preserves $\langle \xi \rangle$, then T preserves $\langle \xi \rangle \oplus \mathbb{C}$. Hence $T = T_1 \oplus T_2$ where $T_1 = T \upharpoonright_{\langle \xi \rangle \oplus \mathbb{C}}$ and $T_2 = U \upharpoonright_{\langle \xi \rangle^\perp}$.

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Spectrum

Let $T = \begin{bmatrix} UA & r\xi \\ \langle \cdot, \xi \rangle & a \end{bmatrix} \in G$, $|r| = 1$. Then

$sp(T) = \{\lambda_1, \lambda_2\} \cup sp(U \upharpoonright_{\langle \xi \rangle^\perp})$ where

$\lambda_1, \lambda_2 = \frac{a(r+1) \pm \sqrt{a^2(r+1)^2 - 4r}}{2}$ respectively. The eigenspaces

corresponding to the eigenvalues λ_1 and λ_2 are generated by the eigenvectors $(k_1\xi, 1)$ and $(k_2\xi, 1)$ where

$k_1, k_2 = \frac{a(r-1) \pm \sqrt{a^2(r+1)^2 - 4r}}{2\|\xi\|^2}$ respectively.

Proposition (M. M. Mishra and A.)

Let $T = \begin{bmatrix} UA & r\xi \\ \langle \cdot, \xi \rangle & a \end{bmatrix} \in G$, $|r| = 1$ be such that $T = T_1 \oplus T_2$. If the eigenvalues of T_1 are distinct, then T is elliptic for $r = -1$ and hyperbolic for $r \neq -1$. For identical eigenvalues, T is parabolic.

Proposition (M. M. Mishra and A.)

- 1 A unitary element of G is of the form $e^{i\theta} \begin{bmatrix} V & 0 \\ 0 & 1 \end{bmatrix}$, where V is a unitary operator on H and $\theta \in \mathbb{R}$.
- 2 A normal element of G is of the form $e^{i\theta} \begin{bmatrix} UA & \xi \\ \langle \cdot, \xi \rangle & a \end{bmatrix}$, $\theta \in \mathbb{R}$.
- 3 For S normal and defined as above in point (2),
 $\sigma(S) = \{a \pm \|\xi\|\} \cup \sigma(U|_{\langle \xi \rangle^\perp})$, where $a \pm \|\xi\|$ are both positive, non unit modulus and inverses of each other. Eigenspaces corresponding to the eigenvalues $a \pm \|\xi\|$ are spanned by the eigenvectors $\left(\pm \frac{\xi}{\|\xi\|}, 1\right)$ respectively.
- 4 Normal isometries are hyperbolic in nature.

Elliptic isometry (M. M. Mishra and A.)

Let T be an elliptic isometry in G .

- 1 Then T has a time like eigenvector and viceversa.
- 2 $T = T_1 \oplus T_2$ with respect to the sesquilinear form \mathcal{A} where $T_1 = T|_{\langle(x,1)\rangle}$, $(x,1)$ is time like eigenvector and $T_2 = T|_{\langle(x,1)\rangle^\perp}$, $\mathcal{A}|_{\langle(x,1)\rangle^\perp}$ is unitary.
- 3 T is conjugate to a unitary operator.

Hyperbolic isometry (M. M. Mishra and A.)

Let T be a hyperbolic element in G .

- 1 $T = T_1 \oplus T_2$ with respect to the sesquilinear form \mathcal{A} where $T_1 = T|_{\langle (x,1), (y,1) \rangle}$, $(x, 1)$ and $(y, 1)$ are light like eigenvectors and $T_2 = T|_{\langle (x,1), (y,1) \rangle^\perp}$, $\mathcal{A}|_{\langle (x,1), (y,1) \rangle^\perp}$ is unitary.
- 2 T is conjugate to a normal isometry.
- 3 Spectrum of T has only two non-unit modulus values, which are eigenvalues, positive and inverses of each other. Eigenspaces with respect to these eigenvalues are one dimensional spaces, each generated by a light like eigenvector. Rest of the spectral values lie on S^1 .

Heisenberg translations

A Heisenberg translation $T \in G$ decomposes $H \oplus \mathbb{C}$ into a finite dimensional subspace K and its orthogonal complement. Dimension of K is either 2 or 3. $\sigma(T) = \sigma_{pt}(T) = \{\lambda\}$, $|\lambda| = 1$. Degree of the minimal polynomial of $T|_K$ is either 2 or 3. $T|_{K^\perp} = \lambda I$.

Let H be a separable Hilbert space.

- ① Result 1- (Unitary equivalence of normal operators) If N is a normal operator on H , there are mutually singular measures $\mu_\infty, \mu_1, \mu_2, \dots$ and an isomorphism

$U : H \longrightarrow L^2(\mu_\infty; H_\infty) \oplus L^2(\mu_1) \oplus L^2(\mu_2; H_2) \oplus \dots$ such that $UNU^{-1} = N_\infty \oplus N_1 \oplus N_2 \oplus \dots$ where H_n is an n -dimensional Hilbert space, $L^2(\mu_n; H_n)$ is the space of square integrable H_n valued functions and N_n is multiplication by z on $L^2(\mu_n; H_n)$.

⁵J. B. Conway, *A course in functional analysis*, Graduate Texts in Mathematics, 96, Springer-Verlag, New York, 1985. MR0768926

Spectral theory of normal operators ⁵

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- ② Result 2- (Centralizer of a normal operator) Also, if N is multiplication by z on $L^2(\mu; H_n)$, then

$$\{N\}' = \{M_\phi : \phi \in L^\infty(\mu; B(H_n))\}.$$

This gives

$$\{N_\infty \oplus N_1 \oplus N_2 \oplus \dots\}' = L^\infty(\mu_\infty; B(H_\infty)) \oplus L^\infty(\mu_1) \oplus L^\infty(\mu_2; B(H_2)) \oplus \dots$$

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Lemma (Centralizer of a unitary operator)

If V is a unitary operator on a separable Hilbert space H , then

$$Z(V) = U^{-1}Z(V_\infty \oplus V_1 \oplus V_2 \oplus \dots)U$$

where U is as in the preceding theorem and

$Z(V_\infty \oplus V_1 \oplus V_2 \oplus \dots) = U(H_\infty) \oplus U(H_1) \oplus U(H_2) \oplus \dots$, $U(H_n)$ is the group of unitary elements in $L^\infty(\mu; B(H_n))$.

For a subspace $K \subseteq H$, let $G(\mathcal{A} \upharpoonright_K)$ denote the collection of bijective linear isometries with respect to the sesquilinear form $\mathcal{A} \upharpoonright_K$.

Elliptic isometry (M. M. Mishra and A.)

Let T be an elliptic isometry decomposing the infinite dimensional space $H \oplus \mathbb{C}$ into a time like finite dimensional sub-eigenspace say K , and K^\perp with respect to the sesquilinear form \mathcal{A} . Then $Z(T) = Z(T \upharpoonright_K) \times Z(T \upharpoonright_{K^\perp})$ where $Z(T \upharpoonright_K) = G(\mathcal{A} \upharpoonright_K)$.

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Hyperbolic isometry (M. M. Mishra and A.)

Let T be a hyperbolic isometry decomposing the space $\mathcal{H} \oplus \mathbb{C}$ into a two-dimensional subspace say L , generated by two light like eigenvectors say x and y , and L^\perp with respect to the sesquilinear form \mathcal{A} . Then $Z(T) = Z(T \upharpoonright_L) \times Z(T \upharpoonright_{L^\perp})$ where $Z(T \upharpoonright_L) = S^1 \cup \mathbb{R}^+$.

Hyperbolic geometry for unit ball in $B(K, H)$

Bear ⁶ defined the notion of Gleason part to an arbitrary linear subspace B of $C(X)$.

For $x, y \in X$, $x \sim y (a)$ if and only if

$$\frac{1}{a} < \frac{u(x)}{u(y)} < a$$

for all strictly positive functions $u \in B$.

$x \sim y$ if $x \sim y (a)$ for some a .

The metric D on each part is defined as follows.

For x and y in the same part,

$$D(x, y) = \log R(x, y).$$

where $R(x, y) = \inf\{a : x \sim y (a)\}$.

⁶H. S. Bear, A geometric characterization of Gleason parts, Proc. Amer. Math. Soc. **16** (1965), 407–412. MR0181910

Ion Suciu ⁷, For two contractions T_1 and T_2 , $T_1 \sim T_2$ if there exists $a \in (0, 1)$ such that

$$a \operatorname{Re} p(T_1) \leq \operatorname{Re} p(T_2) \leq a^{-1} \operatorname{Re} p(T_1)$$

for each complex valued polynomial p with positive real part.

⁷I. Suciu, Analytic relations between functional models for contractions, Acta Sci. Math. (Szeged) **34** (1973), 359–365. MR0320783

⁸C. Foiaş, On Harnack parts of contractions, Rev. Roumaine Math. Pures Appl. **19** (1974), 315–318. MR0348537

⁹G. Popescu, Noncommutative hyperbolic geometry on the unit ball of $B(H)^n$, J. Funct. Anal. **256** (2009), no. 12, 4030–4070. MR2521919

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Popescu ⁹, Harnack metric on the open unit ball in $B(H)$ coincides with the Carathéodory and Kobayashi metric.

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Franzoni, Tullio. The group of holomorphic automorphisms in certain J^* -algebras. Ann. Mat. Pura Appl. (4) 127 (1981), 51–66.

MR0633394

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For $h \in \text{Aut}B_1$,

$$h = T_B \circ L,$$

$T_B \in \text{Aut}(B_1)$,

$$T_B(A) = (I - BB^*)^{-\frac{1}{2}}(A + B)(I + B^*A)^{-1}(I - B^*B)^{\frac{1}{2}}$$

and L is a surjective linear isometry defined on $B(K, H)$ as

$$L(A) = UAV, \quad V \in U(K), \quad U \in U(H).$$

Linearization of $Aut(B_1)$

$U(K, H)$ - Group of all bijective linear transformations defined on $H \oplus K$ preserving the following hermitian form. $\mathcal{M} : (H \oplus K) \times (H \oplus K) \rightarrow \mathbb{C}$ as

$$\mathcal{M}((h_1, k_1), (h_2, k_2)) = \langle h_1, h_2 \rangle - \langle k_1, k_2 \rangle.$$

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For $T \in U(K, H)$, $T = \begin{bmatrix} B & C \\ D & E \end{bmatrix}$ satisfying
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Franzoni [3]

$\psi : U(K, H) \rightarrow Aut(B_1)$ is an onto homomorphism defined as $T \mapsto \psi(T)$ where $\psi(T)(A) = (BA + C)(DA + E)^{-1}$.

Simplified form of elements of $U(K, H)$

Let $K = \mathbb{C}^n$.

Proposition (M. M. Mishra and A.)

For, $T \in U(K, H)$, $T = \begin{bmatrix} BU & CV \\ DU & EV \end{bmatrix}$, $U \in U(H)$, $V \in U(K)$, B and E are positive invertible in $B(H)$ and $B(K)$ respectively.

$$E(e_i) = a_i e_i, \quad a_i > 0,$$

$$C(e_i) = \xi_i,$$

$$D = C^* = 0 \text{ on } (\text{Ran } C)^\perp \text{ and } C^*(\xi_i) = \|\xi_i\|^2 e_i.$$

$$a_i^2 = 1 + \|\xi_i\|^2 \text{ and } \langle \xi_i, \xi_j \rangle = 0, \quad i \neq j, \quad i, j \in \{1, 2, \dots, n\}.$$

$$B = I \text{ on } (\text{Ran } C)^\perp \text{ and } B(\xi_i) = a_i \xi_i$$

Let $\xi_i \neq 0$, $i \in \{1, 2, \dots, k\}$ and $\xi_j = 0$, $j \in \{k + 1, \dots, n\}$.

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$$C_i = \text{span}\{\xi_{i_1}, \xi_{i_2}, \dots, \xi_{p_i}\}, \quad \|\xi_{i_r}\| = \|\xi_{i_s}\| \text{ and}$$

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$$\text{Let } C_i \oplus E_i = H_i.$$

$$H \oplus K = H_1 \oplus H_2 \oplus \dots \oplus H_l \oplus (\text{Ran } C)^\perp \oplus K_2.$$

Proposition (M. M. Mishra and A.)

$T = \begin{bmatrix} BU & CV \\ DU & EV \end{bmatrix}$ is a normal isometry if and only if

- 1 U preserves each C_i .
- 2 V preserves each E_i .
- 3 $[U \upharpoonright_{C_i}] = [V \upharpoonright_{E_i}]$.

So, For a normal isometry T , $T = T_1 \oplus T_2 \oplus \dots \oplus T_l \oplus T' \oplus T''$ where $T_i = T \upharpoonright_{H_i}$, $T' = U \upharpoonright_{(\text{Ran } C)^\perp}$ and $T'' = V \upharpoonright_{K^\perp}$.

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Spectrum

For T normal, $\sigma(T) = \cup \sigma(T_i) \cup \sigma(U \upharpoonright_{(\text{Ran } C)^\perp}) \cup \sigma(V \upharpoonright_{K^\perp})$
 $\sigma(T_i) = \{\lambda_i \mu_1, \lambda_i \mu_2, \dots, \lambda_i \mu_{p_i}\}$ where $\{\mu_1, \mu_2, \dots, \mu_{p_i}\} = \sigma(U \upharpoonright_{C_i})$ and $\{\lambda_1, \lambda_2, \dots, \lambda_{p_i}\} = \{a_i \pm \|\xi\|\}$.

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Thank you.